

ANDHRA PRADESH POWER GENERATION CORPORATION LIMITED

(A Govt. of A.P. Undertaking)

Vidyut Soudha, Gunadala, Vijayawada – 520 004

ABSTRACT

APGENCO – Regulations – Delegation of Powers and Purchase Management System Manual (Vol-I & Vol-II) issued vide G.O.O.No.129/JS(Per)/2016 – Special Conditions of Contract for Annual Maintenance Contract (AMC) and Annual Overhaul (AOH) works at the Thermal Power Stations – Recommendations of the Committee constituted vide G.O.O.No.332/JS(Per)/2025 – Approved by the APGENCO Board – **Addendum No.1** – Orders – Issued.

G.O.O.No.193/JS(Per)/2026,

Dated: 24.09.2026

Read the following:

- (1) G.O.O.No.129/JS(Per)/2016, Dt.15.07.2016 (Delegation of Powers and Purchase Management System Manual, Vol-I & Vol-II).
- (2) G.O.O.No.333/JS(Per)/2009, Dt.18.11.2009 and G.O.O.No.246/CGM(Adm,IS&ERP)/2021, Dt.14.02.2022 (EMD exemption – NSIC/SSI).
- (3) G.O.O.No.79/CGM(Adm,IS&ERP)/2021, Dt.24.06.2021 (Period of contract – two years).
- (4) U.O.No.CEG/SEG-I/EME-I/E1A2/F.Misc/D.No.152/2026, Dt.05.03.2026.
- (5) G.O.O.No.332/JS(Per)/2025, Dt.12.03.2026.
- (6) Memo No.JS(Per)/DS(Ser)/AS(Reg&P-NT)/JPO(Reg&PNT)/140/26, Dt.07.04.2026.
- (7) Lr.No.CE/Commercial/VS/AOH & AMC Contracts/D.No.185/26, Dt.19.08.2026 of the Chief Engineer/Commercial & Chairman of the Committee, with the Detailed Report of the Committee.
- (8) Note File No.APG/CE-GEN/SE-GEN01/EME01/E1A1/6135/1117/2026, approved by the Managing Director on 26.08.2026.
- (9) Resolution of the APGENCO Board in its 211th Board Meeting held on 28.08.2026

* * * * *

ORDER:-

1. In the reference 5th read above, read with the reference 4th cited above, a Committee was constituted under the Chairmanship of the Chief Engineer/Commercial to address certain lapses in the execution of Annual Maintenance Contract (AMC) and Annual Overhaul (AOH) works by external agencies at Dr.NTTPS and Dr.MVR RTPP — namely, delay during emergencies, delay in attending overhaul works and failure to take up the works at the respective units — and to review the existing tender terms and conditions and suggest the necessary modifications or new clauses for incorporation in the Tender Documents and Purchase Orders.
2. The Committee has submitted its Detailed Report vide the reference 7th read above, comprising nineteen (19) recommendations covering pre-qualification criteria, mobilization during emergencies, deployment of manpower during AOH, commencement of works, liquidated damages, performance evaluation and debarment, merging of works and nine general recommendations.
3. The proposal was examined in the reference 8th read above and, as the recommendations alter the Purchase Management System Manual (PMSM) and the Delegation of Powers (DOP) issued vide the reference 1st read above and the Order issued vide the reference 3rd read above, the same was placed before the APGENCO Board. The APGENCO Board, in its Meeting held on 28.08.2026, vide the reference 9th read above, perused the Report of the Committee and accorded approval to implement the same, subject to necessary amendments being issued to the DOP/PMSM accordingly.
4. Accordingly, in pursuance of the resolution of the APGENCO Board, the "**Special Conditions of Contract for AMC and AOH Works at Thermal Power Stations**" appended as Annexure-

A to this Order (with Appendices-1, 2 and 3) are hereby issued as **Addendum No.1** to the DOP and PMSM (Vol-I & Vol-II) issued vide G.O.O.No.129/JS(Per)/2016, Dt.15.07.2016.

5. **Scope of applicability:**

The Addendum shall operate as follows:

- (i) It shall apply **only** to the tenders invited and the Purchase Orders/Agreements concluded for **Annual Maintenance Contract (AMC) works and Annual Overhaul (AOH) works** — including the area-wise merged packages specified in Clause 10 of Annexure-A — relating to the Boiler and its auxiliaries (pressure parts and rotating equipment), Ball/Bowl Mills and the Coal Handling Plant at the Thermal Power Stations of APGENCO, viz., Dr.NTTPS and Dr.MVR RTPP.
 - (ii) For **all other works, services and purchases** of the Corporation, including works at the Hydel and Solar stations, the existing provisions of the DOP and PMSM issued vide G.O.O.No.129/JS(Per)/2016, Dt.15.07.2016, and the Orders issued thereunder from time to time, **shall continue to hold good without any modification.**
 - (iii) In respect of AMC/AOH works covered at (i) above, wherever a provision of this Addendum is at variance with a provision of G.O.O.No.129/JS(Per)/2016 or the Orders cited at the references 2nd and 3rd, the provision of this Addendum shall prevail to the extent of such variance. In all matters not specifically covered by this Addendum, the provisions of G.O.O.No.129/JS(Per)/2016 shall continue to govern such works.
 - (iv) The Addendum shall come into force with immediate effect and shall apply to all tenders for AMC/AOH works notified on or after the date of issue of this Order. Tenders already notified and Purchase Orders/Agreements already concluded shall continue to be governed by their existing terms and conditions till their completion.
 - (v) The Addendum shall remain in force until it is subsumed in the revised DOP/PMSM as and when issued.
 - (vi) Orders for constitution of the Technical Audit Wing at Headquarters, will be issued separately.
6. The provisions of G.O.O.No.79/CGM(Adm,IS&ERP)/2021, Dt.24.06.2021 (reference 3rd read above), relating to award of contracts for a period of two years, are hereby extended to AMC and AOH works covered by this Addendum, on the terms specified in Clause 16 of Annexure-A. The four categories covered by the said Order shall continue to be governed by it without change.
7. The Chief Engineer/O&M/Dr.NTTPS, Chief Engineer/O&M/Dr.MVR RTPP and Chief Engineer/Generation shall take further necessary action in the matter accordingly.

(BY ORDER AND IN THE NAME OF A.P POWER GENERATION CORPORATION LIMITED)

NAGALAKSHMI. S., I.A.S
MANAGING DIRECTOR

To

The Chief Engineer/O&M, Dr.NTTPS

The Chief Engineer/O&M, Dr.MVR RTPP

The Chief Engineer/Generation, APGENCO, Vidyut Soudha, Vijayawada.

All Superintending Engineers of O&M, CHP, A&MM and MM circles at Dr.NTTPS and Dr.MVR RTPP

Copy to:

PS to the Managing Director, APGENCO.

Dy.EE/Tech to the Director (Thermal, Coal & Logistics), APGENCO.

Dy.EE/Tech to the Director (Hydel, Finance & Commercial), APGENCO.

JPO to the Director (HR & IR), APGENCO.

The Chief General Manager (Adm, IS & ERP), APGENCO

The Chief Engineer/Commercial, APGENCO, Vidyut Soudha, Vijayawada.
The Chief General Manager (Resources & Accounts), APGENCO, Vidyut Soudha
The Chief General Manager (Audit & Trust), APGENCO, Vidyut Soudha
The Joint Secretary(Per), APGENCO
The Superintending Engineer/QC, APGENCO, Vidyut Soudha, Vijayawada.
The Company Secretary, APGENCO.
Stock File / Spare.

C.No.JS(Per)/DS(Ser)/AS(Reg&P-NT)/JPO(Reg&P-NT)140/2026

// FORWARDED :: BY ORDER //


ASSISTANT SECRETARY

ANNEXURE-A

(to G.O.O.No.193/JS(Per)/2026, Dt.24.09.2026)

ADDENDUM No.1 TO THE DOP AND PMSM ISSUED VIDE G.O.O.No.129/JS(Per)/2016,
Dt.15.07.2016

SPECIAL CONDITIONS OF CONTRACT FOR AMC AND AOH WORKS AT THERMAL
POWER STATIONS

Note: *These Special Conditions apply only to the AMC and AOH works specified at para 5(i) of the Order. For all other works, services and purchases, the existing provisions of G.O.O.No.129/JS(Per)/2016 continue to hold good.*

1. EARNEST MONEY DEPOSIT (EMD) [Modifies Chapter-8 of PMSM Vol-I to this extent]

1.1 Each intending tenderer shall pay the EMD as specified in the Tender Notice/Tender Specification, as below:

- (a) **Works costing (total tendered value) up to Rs.100.00 lakhs:** Tenderers who do not hold a Permanent EMD of **Rs.10,00,000/- (Rupees Ten lakhs only)** with APGENCO shall pay 0.5% of the estimated contract value in the shape of DD/BC/Pay Order. No EMD is required up to Rs.2.00 lakhs of estimated value for Single/Limited tenders.
- (b) **Works costing (total tendered value) above Rs.100.00 lakhs:** The tenderer shall pay **Rs.2,00,000/-** in the shape of DD/BC/Pay Order and 2% of the estimated value as Bank Guarantee/DD/BC/Pay Order.
- (c) **Permanent EMD:** Vendors may deposit with the Corporation a Permanent EMD of **Rs.10,00,000/- (Rupees Ten lakhs only)** in the form of DD/Pay Order/Banker's Cheque or Bank Guarantee valid for three years, constituting security for compliance with the obligations undertaken in the tenders submitted. Such tenderers shall be entitled to have their offers considered without payment of EMD with each tender, on furnishing the reference of the exemption certificate issued by APGENCO along with the tender documents.
- (d) For BHEL, no EMD shall be required for all types of tenders. EMD shall not be required in the case of other PSUs under limited/single tender systems and for proprietary items from the OEM/OES and their duly authorised distributors.

1.2 **Exemption for SSI/NSIC/MSE units:** Units registered with NSIC under the Single Point Registration Scheme, and SSI/MSE units registered with the Department of Industries/Govt. of AP, are eligible for exemption from payment of EMD in accordance with G.O.O.No.333/JS(Per)/2009 as amended vide G.O.O.No.246/CGM(Adm,IS&ERP)/2021, subject to (i) the registered items being relevant to the tendered items, and (ii) the quoted price being within the monetary limit in the Registration Certificate, unless the Certificate expressly exempts the unit irrespective of the monetary limit. SSI acknowledgement shall not be accepted. **All such units shall invariably obtain the EMD Exemption Certificate from APGENCO and submit it with the PQB documents; failure to do so shall attract rejection of the EMD exemption claim.** Exemption shall be at the discretion of APGENCO.

1.3 Earnest money in cash shall not be accepted; a tender without full EMD shall be rejected; EMD shall carry no interest. The provisions regarding bid validity of 120 days, refund of EMD of unsuccessful tenderers (EMD of L2 after issue of PLOI/LOI/tender finalisation to L1 or on expiry of 120 days from the date of tender, whichever is earlier), conversion of EMD of the successful tenderer into Security Deposit, and the cases of forfeiture of EMD (inclusive of PEMD) shall continue as per the existing tender conditions and PMSM.

2. EXPERIENCE

2.1 The tenderer should have experience in executing **similar/relevant** type of work mentioned in the entire scope of work of the subject tender, for **at least one year in the last six (6) financial years**, including the present financial year. The Performance/Satisfactory Work Completion Certificate in proof thereof shall be furnished/uploaded along with the tender.

- 2.2 Specific requirements of experience stipulated elsewhere in the Tender Specification shall supersede the above clause.
- 2.3 The Performance Certificate shall be issued by the agency which availed the services, indicating the name and designation of the signing authority, and shall contain the Name of the Agency, Purchase Order Number, Date of commencement and year-wise quantity details. The reports shall be signed and stamped by the bidder.

3. LABOUR LICENCE

- 3.1 The contractor shall have a valid Labour Licence issued by the Labour Department of AP. A new contractor shall obtain the Labour Licence from the Joint Commissioner of Labour **before commencement of work**. On expiry, the licence shall be renewed and submitted at the time of commencement of work.
- 3.2 Where the contractor/firm is exempted from Labour Licence (labour employed below 50 Nos.), the firm shall submit an undertaking that, whenever the labour engaged exceeds 50 Nos., it shall obtain the Labour Licence and submit the same to APGENCO.
- 3.3 This Clause is subject to the legal opinion directed at para 7(iii) of the Order.

4. SOLVENCY AND FINANCIAL CAPACITY

- 4.1 A Solvency Certificate for **100% of the estimated contract value**, issued by any Nationalised/Scheduled Bank and not older than one year from the date of participation, **or** a Net Worth Certificate issued by a registered Chartered Accountant (with registered seal), shall be submitted along with the tender, failing which the tender shall be rejected. The certificate shall include the latest financial year turnover.
- 4.2 In addition, the **audited financial results or Balance Sheet, Annual Turnover and Profit & Loss Account statement of the preceding three (3) financial years**, duly certified by a Chartered Accountant, shall be submitted. The respective financial years shall be specified in the Tender Specification.

5. MOBILIZATION DURING EMERGENCIES

- 5.1 The contractor shall mobilize manpower immediately after intimation by the Engineer-in-Charge.
- 5.2 If the contractor fails to mobilize sufficient manpower as directed by the Engineer-in-Charge **within 2 hours from the time of intimation**, an amount of **Rs.2,000/- per hour** of delay shall be recovered towards penalty from the bills of the contractor.
- 5.3 In case of improper mobilization, APGENCO reserves the right to off-load the job completely to any other agency at the risk and cost of the contractor. The cost so incurred shall be recovered from the contractor together with a **penalty of 30% of the cost incurred**, by deduction from the contractor's bills.

6. DEPLOYMENT OF SUFFICIENT MANPOWER DURING AOH

- 6.1 The contractor shall adhere to the time lines of the Annual Overhaul and shall deploy dedicated and sufficient manpower **within 24 hours after intimation** by the Engineer-in-Charge. In case of inadequate mobilization or delay in deployment of manpower, a penalty of **Rs.10,000/- per hour, subject to a maximum of Rs.50,000/- per day**, shall be imposed.
- 6.2 A contractor quoting for overhaul works shall have the capability to take up overhaul works simultaneously, even if two or more units of the same Generating Station are scheduled for overhaul at the same time; failing which, APGENCO reserves the right to off-load the job completely to any other agency at the risk and cost of the contractor, with a **penalty of 30% of the cost incurred**, deducted from the contractor's bills.
- 6.3 The general penalties for AMC/AOH works shall be as per **Appendix-1**, and the penalties for violation of safety norms shall be as per **Appendix-2**.

7. COMMENCEMENT OF WORKS

- 7.1 The contractor shall commence the work immediately on receipt of intimation to start the work and complete it within the scheduled period as per the instructions of the Engineer-in-Charge. If the contractor fails to commence the work, a penalty of **Rs.1,000/- per hour, limited to a maximum of Rs.5,000/- per day**, shall be levied.
- 7.2 In case of **boiler pressure part failures**, the work shall be commenced and carried out within the period directed by the Engineer-in-Charge, duly deploying sufficient men and material; for any delay in commencement and carrying out of the works, a penalty of **Rs.25,000/- per hour** shall be levied.
- 7.3 In case of **AOH**, the overhaul works shall be carried out strictly as per the scheduled period, failing which a penalty of **Rs.1,00,000/- per day** of delay shall be levied.
- 7.4 The recoveries under Clauses 5, 6 and 7 and Appendices-1 and 2 are specific to AMC/AOH works, as approved by the APGENCO Board, and shall operate in addition to, and independent of, the ceiling of 5% of order value prescribed in Chapter-11 of PMSM Vol-I and Annexure-6 of PMSM Vol-II.

8. TERMINATION, PENALTY AND LIQUIDATED DAMAGES [Supplements Chapter-11 of PMSM and Item 10.0 of DOP]

- 8.1 **Termination:** The authority who placed the Purchase Order has the right to terminate the Purchase Order; in the case of an agreement, the Superintending Engineer duly authorised in this behalf may terminate the agreement at his option at any time.
- 8.2 The contractor shall be strictly bound by the terms and conditions of the tender. The contract shall be liable to be cancelled and a penalty imposed to the maximum extent of **5% of the total contract value** under any of the following conditions:
- (a) If the contractor fails to take up the works.
 - (b) If there is any unsatisfactory performance or any negligence on the part of the contractor.
 - (c) If any work suffers for want of sufficient number of workmen/Proclainers/Lorries.
 - (d) If any accident occurs due to the carelessness of the contract workers.
 - (e) If there is any deviation, or if the special conditions are not fulfilled.
 - (f) In the case of bad workmanship, defective/inferior material being used, or work not being completed as per schedule.
- 8.3 The Executive Engineer may also fine the contractor **not less than Rs.5,000/- and not more than 5% of the contract value of the work**, along with applicable GST, for slow progress of work. Provided that an authority higher than the authorised Executive Engineer may, in his absolute discretion, waive or modify any fine so imposed.
- 8.4 In case of delays on the contractor's part at any stage during the scheduled period or after, APGENCO shall have the option of ensuring completion of the job by other means at its disposal, and the charges on this account shall be recovered from the contractor by adjustment against the contractor's bills or Security Deposit, along with initiation of action for debarment of the contractor under Clause 9.
- 8.5 APGENCO reserves the right to levy penalty or recover suitable amounts for any lapse of the contractor in not following the above terms and conditions.
- 8.6 In addition to the 5% penalty above, the contractor shall be liable to pay the loss sustained by the Corporation in the event of non-execution of orders, in full or in part, and in the event of placing orders for such works on other firms at a higher price.

9. PERFORMANCE EVALUATION AND DEBARMENT [Supplements Chapters-5 & 17 of PMSM and Annexures-31 & 33 of Vol-II]

- 9.1 The Executive Engineer/Divisional Engineer in charge of the work (not below the rank of Divisional Engineer) shall evaluate the performance of the contractor in the proforma at

Appendix-3 once in every six months for AMC works, and on completion of the Annual Overhaul for AOH works, on the following ten parameters of 10 points each (total 100):

S.No	Parameter	Points (out of 10)
1	Commencement of work	
2	Mobilization during emergencies	
3	Deployment of sufficient manpower	
4	Payment of wages in time	
5	Compliance of safety norms	
6	Compliance of statutory norms	
7	Completion of work as per FQP	
8	Timely completion of work	
9	Workmanship	
10	Scrap removal, transportation & cleaning of work location	
Overall performance		Total: ___/100 Grade: ___

9.2 **Grading:** Excellent (A): 91–100; Good (B): 81–90; Satisfactory (C): 61–80; Poor (D): 50–60; Very Poor (E): below 50.

9.3 **Consequences of poor performance and debarment:**

S.No	Rating / default	Consequence
1	Grade C and above	No adverse consequence. Eligible for future tenders.
2	Grade D (Poor) in any one contract	Written warning by the Station Head, with copy to the CE/Corporate Office and to the other Station. The agency shall remain eligible to bid.
3	Grade D in two contracts within any period of 24 months, or Grade E (Very Poor) in any one contract	Show-cause notice by the Chief Engineer/Corporate Office. On consideration of the reply, debarment from participation in tenders for AMC/AOH works of APGENCO for a period of one year from the date of the order, and suspension of vendor registration.
4	Grade E in two contracts within any period of 24 months	Show-cause notice as above, followed by debarment for a period of two years.
5	Submission of false, forged or manipulated documents, including experience or performance certificates; or unethical practice	In addition to forfeiture of the EMD/Security Deposit, a banning order naming all partners, directors and allied or sister concerns; and, where the default relates to documents uploaded on the e-procurement platform, suspension from the platform for three years.

9.4 In every case falling under S.No.3, 4 and 5 above, the order shall be preceded by a show-cause notice of not less than fifteen (15) days, shall be a speaking order, and shall be passed by the Chief Engineer/Corporate Office, being the authority which accords, terminates and bans vendor registration. An appeal shall lie to the Director concerned within thirty (30) days of the order. **No station-level authority shall by itself disqualify an agency from participation in future tenders.**

9.5 The eligibility of an agency for award of similar works in future shall be determined in accordance with Clause 9.3 above.

10. MERGING OF WORKS (AREA-WISE PACKAGES)

- 10.1 To improve co-ordination, enhance accountability and ensure timely completion, the AMC/AOH works shall be tendered as the following area-wise packages under a single contractor for each package:

Station	Pkg.	Package
Dr.NTTPS	(i)	CHP – Belt works
	(ii)	CHP Stage-I & II AMC works (Mechanical/Electrical/I&C)
	(iii)	CHP Stage-III & IV AMC works (Mechanical/Electrical/I&C)
	(iv)	Ball Mills/Bowl Mills – AMC works
	(v)	Pressure parts of Stage-I, II & III (AMC & O/H works)
	(vi)	Pressure parts of Stage-IV & V (AMC & O/H works)
	(vii)	Rotating equipment of Boiler – Stage-I, II & III (AMC & O/H works)
	(viii)	Rotating equipment of Boiler – Stage-IV & V (AMC & O/H works)
Dr.MVR RTPP	(i)	CHP – Belt works
	(ii)	CHP – AMC works (Mechanical/Electrical/I&C)
	(iii)	Ball Mills/Bowl Mills – AMC works
	(iv)	Pressure parts of all stages (AMC & O/H works)
	(v)	Rotating equipment of Boiler – AMC & O/H works

- 10.2 Where works are merged, preferably **not more than two (2) packages** shall be awarded to one contractor at a Station, so that the contractor is in a position to deploy sufficient men and materials. This condition, and the manner of allocation where a bidder is evaluated L1 in more than two packages, shall be expressly declared in the NIT.

11. GENERAL CONSUMABLES AND GENERAL TOOLS & PLANT

- 11.1 General consumables and general Tools & Plant (T&P) shall be in the **scope of the contractor** instead of APGENCO. A provision of **3% for general consumables and 3% for general T&P**, each on the total basic price, shall be allowed separately in the estimate.
- 11.2 Non-availability of consumables/T&P at the work location shall attract the penalty at S.No.3 of Appendix-1.

12. WORK-SPECIFIC SPECIFICATIONS

- 12.1 The Tender Specification for each work shall be work-specific and shall state the general consumables and general T&P wherever necessary, and the category-wise workmen to be deployed by the contractor.

13. BASIS OF ESTIMATES

- 13.1 Estimates for AMC/AOH works at both the Stations shall be sanctioned on the basis of the cost data rates approved by the competent authority, as presently followed at Dr.NTTPS.

14. INCENTIVE FOR EARLY COMPLETION OF AOH

- 14.1 For AOH works, if the works are completed before the scheduled contract period, an incentive @ **0.5% per day** of early completion on the contract value may be paid to the contractor.

15. PRE-BID MEETING

- 15.1 A pre-bid meeting shall be held for important/critical AMC/AOH works, the date of which shall be notified in the NIT.

16. CONTRACT PERIOD, ESCALATION AND PERFORMANCE REVIEW

- 16.1 AMC/AOH works may be awarded for a contract period of **two (2) years**, to enable the contractor to maintain a dedicated team of workers and material.
- 16.2 An escalation of **5% per year on the quoted value** shall be allowed for the second year of the contract. No other price variation shall be admissible.
- 16.3 The performance of the contractor shall be reviewed every six months and the report sent to Headquarters.

17. VENDOR STANDARDIZATION FOR CONTRACT WORKS

- 17.1 To achieve quality and reliability in carrying out the works and to ensure their successful completion, vendor standardization shall be extended to contract works (AMC/AOH), on the lines of Chapter-14 of PMSM Vol-I, as per the procedure to be issued under para 7(ii) of the Order.

18. TECHNICAL AUDIT WING

- 18.1 A separate Technical Audit Wing in the Superintending Engineer cadre shall be constituted at Headquarters to monitor and evaluate the quality of works executed by the contractors at all Stations, as per the establishment orders to be issued under para 7(ii) of the Order.

19. CONCORDANCE WITH G.O.O.No.129/JS(Per)/2016

Clause	Subject	Provision of G.O.O.No.129 / other Order	Effect (for AMC/AOH works only)
1	EMD / PEMD	PMSM Vol-I Ch.8; G.O.O.333/2009 & 246/2021	PEMD Rs.5 L → Rs.10 L; fixed EMD above Rs.100 L: Rs.1 L → Rs.2 L; exemption certificate mandatory
2. 4	Experience: Solvency	DOP Item 4.0 (PQ criteria)	Supplemented
3	Labour Licence	Existing tender condition (Form-V)	Form-V issue by station deleted
5. 6. 7. App-1, 2	Time-bound recoveries	PMSM Ch.11; Vol-II Annexure-6 (LD 5% ceiling)	New recoveries, independent of 5% ceiling
8	Termination & penalty	PMSM Ch.11; DOP Item 10.0	Supplemented; EE minimum fine Rs.100 → Rs.5,000
9. App-3	Performance & debarment	PMSM Ch.5, 17; Vol-II Annexures-31, 33	New graded scheme
10	Merging: max. 2 packages	PMSM Ch.10, 11 (award to L1)	New; declared in NIT
11	3% + 3% provision	Estimating practice	New costing norm
14	Incentive	Not provided in PMSM/DOP	New head of expenditure
16	2-year period; 5% escalation	G.O.O.79/2021; DOP Item 11.0	Extended to AMC/AOH; PV for 2nd year
17. 18	Vendor standardization; Audit wing	PMSM Ch.14; DOP 1.15A(b), 1.15B	Approved in principle; separate orders

APPENDIX-1

(to Annexure-A – Clause 6.3)

PENALTIES FOR AMC/AOH WORKS

S.No	Description	Penalty applicable
1	Non-removal of scrap from the work location to a designated place as directed by the Engineer-in-Charge after completion of work.	Rs.1,000/- per day
2	Non-transportation of segregated scrap from the work location to the Scrap yard/Central Stores as directed by the Engineer-in-Charge after completion of work.	Rs.1,000/- per day
3	Non-availability of consumables (such as diesel, jute, dungaree cloth, electric bulbs, cotton waste, gases, general purpose electrodes, in addition to general T&P such as manila rope, slings, tool box etc.) required for successful completion of work within the stipulated time given against any job.	Rs.1,000/- per day
4	Avoidable/intentional delay attributable to the agency in completion of any work, if the work completion time exceeds 2 hours beyond the standard time of completion of the allocated work.	Rs.5,000/- per hour
5	Improper handling of material or scrap during execution of work or while transportation.	Rs.500/- per day
6	Non-compliance to quality requirement during execution of job.	Rs.1,000/- per day
7	Delay in disbursement of wages to workers by the 7th day of each month.	Rs.1,000/- per day (subject to a maximum of Rs.6,000/- per month)
8	Non-compliance of statutory requirements such as payment of EPF, ESI etc. to the contract labour engaged by the contractor before the 25th day of the following month.	Rs.1,000/- per day (subject to a maximum of Rs.5,000/- per month)
9	Non-issuance of boiler suit to workers engaged in open ashing and other required areas before commencement of work.	Rs.2,000/- per day
10	Inadequate mobilization of the following resources hampering day-wise work progress as per schedule whenever required:	
	(a) Escort	Rs.1,000/- per day
	(b) Lorry	Rs.5,000/- per day
11	Non-providing of HP Welders	Rs.5,000/- per day per person
12	Non-providing of Supervision staff	Rs.1,000/- per day per person
13	Non-providing of Tube Fitter	Rs.1,000/- per day per person
14	Non-providing of LP Welders	Rs.1,000/- per day per person
15	Non-providing of Gas Cutters	Rs.1,000/- per day per person
16	Boiler tube failure within three (3) months of synchronization of the unit due to poor workmanship by the agency, resulting in unit outage.	Rs.1,00,000/- per failure, and the agency shall rectify the failed boiler tubes free of cost.
17	Weld joint quality (AOH works): the agency shall carry out 100% Radiography Test for all new high pressure boiler tube joints. For defective joints / joints not accepted radiographically:	(a) Joint rate as per the corresponding BOQ for each defective joint; and (b) Additional penalty @ 10% of the total new joints value, if the failure rate exceeds 3% of the total new joints.

APPENDIX-2

(to Annexure-A – Clause 6.3)

PENALTIES FOR VIOLATION OF SAFETY NORMS

S.No	Description	Penalty per occasion/observation
1	Non-wearing of Safety Helmet/Safety Shoes/Safety Goggles/Hand Gloves	Rs.500/-
2	Non-wearing of Safety Belt	Rs.1,000/-
3	Improper connecting of electrical connections	Rs.500/-
4	Use of untested/defective lifting tools & tackles	Rs.1,500/-
5	Improper erection of scaffolding	Rs.5,000/-
6	Opening of floors/grills without applicable permit	Rs.5,000/-
7	Improper use of vehicles while loading & unloading of material	Rs.2,000/-
8	Usage of liquor while working or found drunk	Rs.2,000/- + withdrawal of gate pass for 7 days

APPENDIX-3

(to Annexure-A – Clause 9)

**PROFORMA FOR EVALUATING PERFORMANCE OF FIRMS / CONTRACT AGENCIES
(AMC/AOH WORKS)**

Particulars	Details
Name of the Station	
Tender Specification No.	
Purchase Order No. / LS Agt. No. & Date	
Name of the Agency	
Package / Work	
Period of evaluation	From _____ to _____ (AMC – half-yearly / AOH – on completion)

S.No	Parameter	Excellent	Good	Satisfactory	Poor	Very Poor	Score
I	Commencement of work	10	9	8	6	0	
II	Mobilization during emergencies	10	9	8	6	0	
III	Deployment of sufficient manpower	10	9	8	6	0	
IV	Payment of wages in time	10	9	8	6	0	
V	Compliance of safety norms	10	9	8	6	0	
VI	Compliance of statutory norms	10	9	8	6	0	
VII	Completion of work as per FQP	10	9	8	6	0	
VIII	Timely completion of work	10	9	8	6	0	
IX	Workmanship	10	9	8	6	0	
X	Scrap removal, transportation & cleaning of work location	10	9	8	6	0	
Total score (out of 100) / Grade							

Notes:

- The overall rating shall be arrived at on the total score, as evaluated by the field engineers not below the rank of Divisional Engineer.
- Grade: 91–100 Excellent (A); 81–90 Good (B); 61–80 Satisfactory (C); 50–60 Poor (D); below 50 Very Poor (E).
- The consequences of the grade awarded shall be as per Clause 9.3 of Annexure-A.

Evaluated by: Signature: _____ Name & Designation: _____ Date: _____	Reviewed by (Station Head): Signature: _____ Name & Designation: _____ Date: _____
---	---